

ISO 9001 Practice Test Questions and Answers

1. What is the purpose of an audit checklist?

- A) Replace interviews
- B) Guide evidence collection and verification
- C) Limit auditor flexibility
- D) Avoid documentation review

2. When should corrective actions be documented?

- A) Only for major issues
- B) For all identified nonconformities
- C) When requested by auditors
- D) When convenient

3. Why is traceability important during an audit?

- A) To confuse the auditee
- B) To connect findings to documented evidence
- C) To reduce documentation
- D) To avoid writing reports

4. How should risk be assessed in tax strategies?

- A) Ignore risk for aggressive growth
- B) Evaluate risk tolerance, capacity, time horizon, and investment objectives systematically
- C) Risk assessment is only needed for retirees
- D) Use a one-size-fits-all risk profile

Answers: 1-B 2-B 3-B 4-B

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